

# COVER SHEET

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SEC Registration Number

S	O	C	R	E	S	O	U	R	C	E	S	,	I	N	C	.
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[illegible][illegible]

(Company's Full Name)

4 t h F l r . E N Z O B l d g . 3 9 9 S e n .

[illegible][illegible]

(Business Address: No., Street City / Town / Province)

Ronna C. De Leon

### Contact Person

804-1977/804-1978

Company Telephone Number

**CERTIFICATE OF COMPLIANCE WITH CORPORATE GOVERNANCE CODE 2017**

FORM TYPE

(last Friday of May)

1	2
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Month

3	1
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Day

Fiscal Year

0	5
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Month

2	5
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Day

Annual Meeting

Not Applicable

0Secondary License Type, If Applicable

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Dept Requiring this Doc

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Amended Articles Number / Section

### Total Amount of Borrowings

362

Total No. of Stockholders

Domestic

Foreign

To be accomplished by SEC Personnel concerned

[illegible]

File Number

LCU

[illegible]

Document ID

Cashier

## STAMPS

Remarks: Please use BLACK ink for scanning purposes

## CERTIFICATION

I, **DAVID R. BALADAD**, Filipino of legal age and with office address at 4<sup>th</sup> Floor Enzo Building 399 Senator Gil Puyat Avenue, Makati City, after being sworn to in accordance with law, depose and state that:

1. I am the incumbent Vice President for Operations and Compliance Officer of **SOCRESOURCES, INC.** (the "Company"), a corporation duly organized and existing in accordance with the laws of the Republic of the Philippines, with office address at 4<sup>th</sup> Floor Enzo Building 399 Senator Gil Puyat Avenue, Makati City;
2. In 2017, the Company substantially adopted all the provisions of the Revised Code of Corporate Governance (the "Code"), as prescribed by SEC Memorandum Circular No. 19, Series of 2016;
3. During the same year, the Company did not deviate from any of the provisions of the said Code.
4. I am issuing this Certificate in compliance with the requirement of the Securities and Exchange Commission on the annual reporting on the Company's compliance with the Revised Code of Corporate Governance.

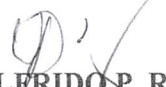
IN WITNESS WHEREOF, I have signed this Certificate this 25<sup>th</sup> day of January 2018 at Makati City, Philippines.



**DAVID R. BALADAD**

Vice President – Operations and Compliance Officer

Countersigned by:



**WILFRIDO P. REYES**  
President

SUBSCRIBED AND SWORN TO before me this JAN 25 2018 day of January 2018 at Makati City, Philippines, affiant exhibiting to me their valid government issued identification as follows:

Name	Type	No.	Date of Issue	Place of Issue	Expiry
Wilfrido P. Reyes	Passport	EB8746273	Jul 23, 2013	DFA, Manila	Jul 22, 2018
David R. Baladad	PRC License	0000609	Sep30, 2015	PRC, Manila	Sep13, 2018

WITNESS my hand and notarial seal on the date and place above written.

Doc. No.: 076  
Page No.: 14  
Book No.: 11  
Series of 2018.



**MARY ANGELA M. MERIS**

Commission No. M-225

Notary Public – Makati City

Until December 31, 2018

Esguerra & Blanco Law Offices

4<sup>th</sup> & 5<sup>th</sup> Floors, S&L Building, De La Rosa corner

Esteban Sts., Legaspi Village, Makati City 1229

PTR No. 6614403/1-03-18/Makati City

IBP No. 020550/1-03-18/Makati City Chapter

Roll No. 65577

